



DLA Piper LLP (US)
1999 Avenue of the Stars, Fourth Floor
Los Angeles, California 90067-6022
T 310.595.3000
F 310.595.3300
W www.dlapiper.com

Facsimile

Shuman, Barry
T +1 310.595.3122
F 310-595-3422

Date: 3/2/2011**To:****Phone:****Fax:****Seyed Masoud Malekzadeh****1-571-273-6215**

Pages (including fax sheet): 7

Comments:

Interview agenda for application 10/597,514- from Barry Shuman

To: Seyed Masoud Malekzadeh

Enclosed is the agenda for our March 8, 2011 telephone interview

Regards,

Barry Shuman

The information contained in this facsimile message is confidential and, if addressed to our client or certain counsel, is subject to the attorney-client or work product privilege. This message is intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message to us at the above address via the U. S. Postal Service.

Appl. No. 10/597,514

Attorney Docket No. 374611-000138

Customer No.: 73230

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Youhei SAKAI, et al.

Serial No: 10/597,514

Confirmation No.: 2199

Filed: July 27, 2006

For: Mold, Method Of Forming The Same,
And Method Of Producing
Polycrystalline Silicon Substrate
Using The Mold

Art Unit: 1791

Examiner: Seyed Masoud
Malekzadeh

Dear Seyed Masoud Malekzadeh:

In preparation for our telephone interview which is scheduled for Tuesday, 11:00 am (EST), March 8, 2011, we are attaching a proposed amendment and comments that we would like to discuss.

Sincerely,

/BMS/

March 2, 2011

Barry M. Shuman
Registration No. 50,220
Phone number: 310-595-3122
FAX number: 310-595-3422
Mobile: 213-446-0960

Appl. No. 10/597,514

Attorney Docket No. 374611-000138

Customer No.: 73230

PROPOSED AMENDMENT

18. (Currently amended) A mold for producing a silicon ingot, comprising:
a bottom surface member; and
a plurality of lateral surface members combining with the bottom surface member,

and each lateral surface member comprising a first engaging structure on a first lateral end thereof and a second engaging structure on a second lateral end thereof, one of the first and second engaging structures of one of the plurality of lateral surface members engages with one of the first and second engaging structures of another one of the plurality of lateral surface members,

wherein the first and second engaging structures each comprises a projection and a recess, and

wherein the plurality of lateral surface members are combined with a side surface of the bottom surface member and are upright so as to surround the bottom surface member, and

the mold for producing a silicon ingot further comprising:

a mold holder configured for placing the bottom surface member and the plurality of lateral surface members that are combined, the mold holder being provided independently from the bottom surface member;

a wedge receiver ~~is~~ on an upper surface of the mold holder; and

a plurality of wedge members respectively positioned in clearances between the wedged receiver and outer peripheral surfaces of the plurality of lateral surface members.

Appl. No. 10/597,514

Attorney Docket No. 374611-000138

Customer No.: 73230

CLAIM REJECTIONS UNDER 35 U.S.C. §102:

Claims 18, 20, 23, 28-34, and 36-38 stand rejected under 35 U.S.C. §102(b) as being anticipated by Bate (U.S. Patent No. 3,165,798). Applicant respectfully traverses this rejection.

Claim 18, as amended, is as follows:

A mold for producing a silicon ingot, comprising:
a bottom surface member; and
a plurality of lateral surface members combining with the bottom surface member,
and each lateral surface member comprising a first engaging structure on a first lateral end thereof and a second engaging structure on a second lateral end thereof, one of the first and second engaging structures of one of the plurality of lateral surface members engages with one of the first and second engaging structures of another one of the plurality of lateral surface members,
wherein the first and second engaging structures each comprises a projection and a recess, and
wherein the plurality of lateral surface members are combined with a side surface of the bottom surface member and are upright so as to surround the bottom surface member, and
the mold for producing a silicon ingot further comprising:
a mold holder configured for placing the bottom surface member and the plurality of lateral surface members that are combined, the mold holder being provided independently from the bottom surface member;
a wedge receiver on an upper surface of the mold holder; and
a plurality of wedge members respectively positioned in clearances between the wedged receiver and outer peripheral surfaces of the plurality of lateral surface members.

Applicant respectfully submits that Bate cannot anticipate or render claim 18 obvious, because Bate fails to teach or suggest a “mold for producing a silicon ingot” comprising both “a bottom surface member” and “a mold holder configured for placing the bottom surface member and the plurality of lateral surface members that are combined, the mold holder being provided independently from the bottom surface member.”

Appl. No. 10/597,514

Attorney Docket No. 374611-000138

Customer No.: 73230

It is an aspect of the present invention that the mold 1 is assembled by placing the bottom surface member 2 on the mold holder 9 provided with the wedge receivers 10, arranging the four lateral surface members 3 so as to surround the outer periphery of the bottom surface member 2, and respectively driving the wedges 8 between the outer surfaces of the lateral surface members 3 and the wedge receivers 10. The wedge receiver 10 and each of the lateral surface members 3 can be firmly fixed to each other without loosening a joining portion between the bottom surface member 2 and the lateral surface member 3 by driving the wedge 8 between the wedge receiver 10 and the lateral surface member 3. Therefore, the leakage of a silicon melt is restrained, so that the size of the mold 1 can be increased. The necessity of subjecting the bottom surface member 2 composed of a high-cost graphite mold member to special processing for preventing the leakage of the silicon melt is eliminated, so that the rise in the cost of the mold can be restrained. (Applicant's specification, at p. 33, line 16-p. 34, line 12).

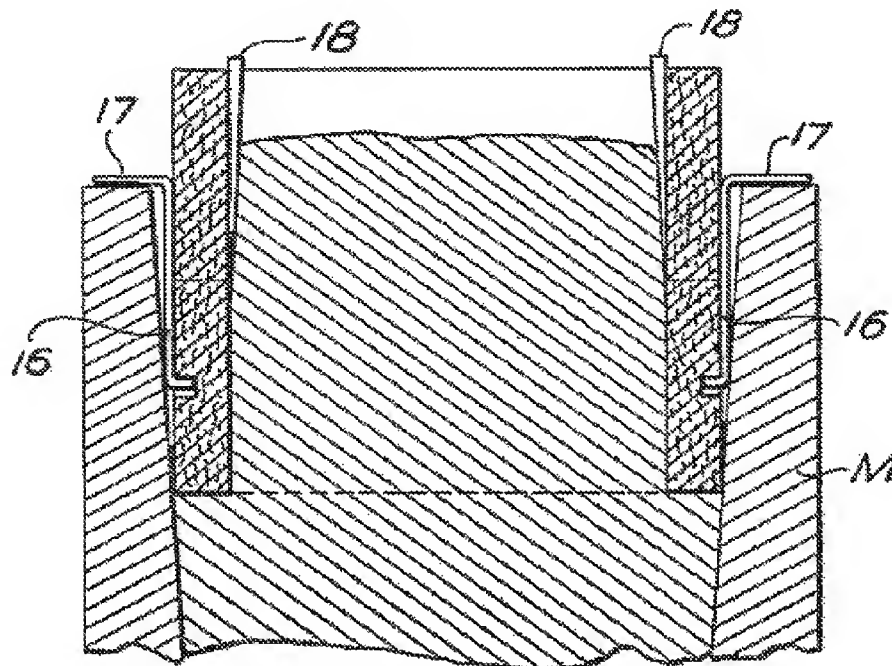
Bate is generally directed to a hot top used in conjunction with ingot molds. (Bate, column 1, lines 7-11). Bate teaches that the hot top has a pair of side portions 12, 13 and a pair of end portions 10, 11. (Bate, column 2, lines 44-49; Figure 1). The bottom of Bate's hot top lacks a surface. (Bates, Figures 1 and 5). However, should the Office consider the ingot mold (M) to be "a bottom surface member," then Bates would lack "a mold holder." And if the Office considers the ingot mold (M) to be "a mold holder," then Bates would lack "a bottom surface member." In either case, Bates fails to teach or suggest having both a "bottom surface member" and "a mold holder."

Figure 5 of Bate is as follows:

Appl. No. 10/597,514

Attorney Docket No. 374611-000138

Customer No.: 73230



The upper ends of the hanger cranks 16 are outturned as at 17 and adapted to overlie the uppermost surface of an ingot mold M. Reference numeral 18 is a wedge member. (Bate, column 2, lines 54-72).

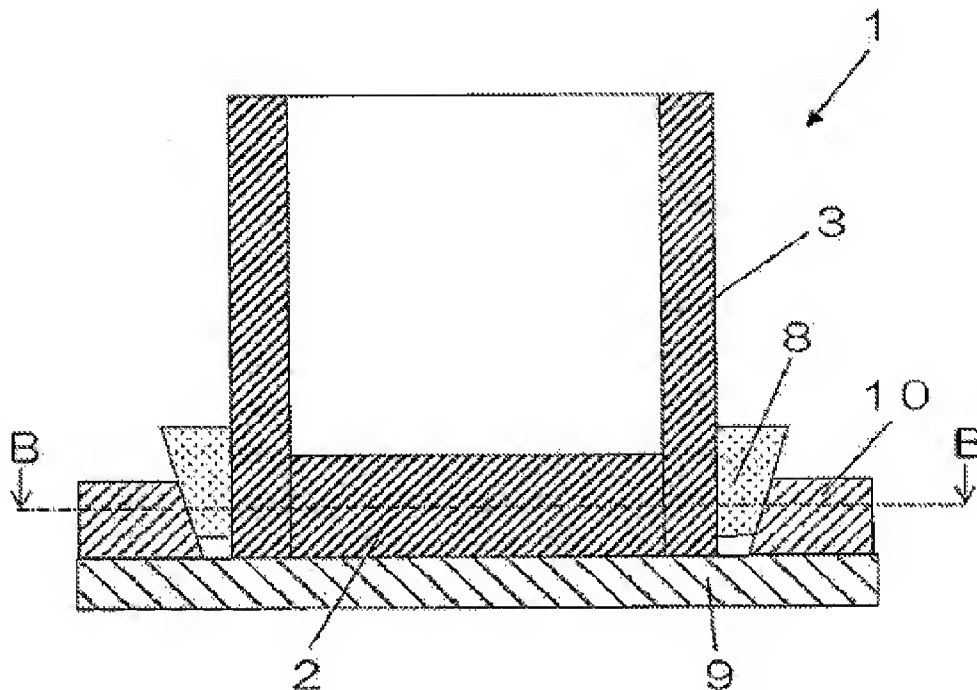
In contrast, as shown in Figure 5a of the present invention, the mold holder 9 and bottom surface member 2 are independent members.

Figure 5(a) is as follows:

Appl. No. 10/597,514

Attorney Docket No. 374611-000138

Customer No.: 73230



In light of the foregoing, Applicant respectfully submits that Bate cannot anticipate or render claim 18 obvious, because Bate fails to teach or suggest each and every claim limitation. Claims 20, 23, 28-34, and 36-38 depend from claim 18 and therefore, cannot be anticipated or rendered obvious for at least the same reasons as claim 18. Withdrawal of this rejection is thus respectfully requested.